

## THEORETICAL ASSESSMENT OF A STIRLING ENGINE 'AMAZON' BY USING PROSA AND MATHCAD

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**Abstract.** *In this study, we describe how to build a prototype Stirling engine named Amazon, focusing on how to apply it to generate electric power in remote areas, with an expected power of 8 kW. Such engine was assessed theoretically by using two different computer software, i.e. PROSA and 'a model to simulate Stirling engines by the theory of Schmidt', which was formulated in MathCAD. The results from MathCAD software were on average 6.8 % higher than the results from PROSA software. Such fact occurs since MathCAD does not consider the losses in a real system. Hence, the PROSA is generally more accurate in its results. Modeling performed in MathCAD presents higher values for partial loads and similar values for the project operating point.*

**Keywords:** *Theoretical assessment; Stirling engines; PROSA; MathCAD; Simulation*

### 1. INTRODUCTION

Growth and diversification of energy sources to provide more electricity for population has created new technologies to produce energy. Nowadays, Stirling engines has become research target in this area (Minassians and Sanders, 2009; Betts 2009; Mahkamov 2006a, 2007b) since the advances in manufacturing technology for such engines, materials and sealing systems has provided this. Such external combustion engine feature allows the use of several fuels, since all needs are only a high temperature source, also making use solar energy as heat source (Minassians and Sanders, 2009).

Since to assess such engines experimentally takes time and money, the theoretical assessment could facilitate testing the feasibility to use such engines (Cullen and McGovern, 2010; Mahkamov, 2006a, 2007b; Rogdakis et al 2002; Thomas, 2003). In this wise, the main goal of this simulation is look for the best theoretical condition and efficiency of a Stirling engine prototype using the PROSA and MathCAD.

### 2. SIMULATION AND EXPERIMENTAL PROCEDURE

#### 2.1. Characteristics of the Stirling Engine 8 kW Amazon

The designed Stirling engine was built to be applied in isolated regions, and one of the criteria to select its properties was to determine the power range required by the generation system in the region to be applied. For example, data from a cataloguing in the Amazon region of the State of Acre (Juruá river valley, Brazil), showed 240 communities for a median of 13 domiciles per community, and 40 % of such communities have until six domiciles (Azzur 2005). Electricity demand is therefore 50–10 kWe per community.

Concerning the selection of drive unit, among the types of Stirling engines, we chose to the alpha ( $\alpha$ ) model, since it is the configuration similar to some blocks of motorcycles and air compressors easily found in the market. We used a motorcycle engine block Cagiva model (Ducati), and it has all the technical requirements to work as the primary drive for a Stirling engine of 8 kW. The main technical engine data built is in Tab. 1. For converting the Ducati engine operation to Stirling engine of 8 kW, some adaptations had been performed, as shown in Tab. 1.

The left part of Fig. 1 shows the Stirling engine 8 kW without the necessary adaptations, which can be seen in the sketch on the right. After reassembling the engine, the experimental data to be obtained will be compared with theoretical data in this work.

#### 2.2. Modelling by PROSA software

The PROSA (second order Assessment Software) is a software to assess machines based on a regenerative gas cycle, which can be used to assess Stirling engines in sundry configurations, e.g. engines for alpha, beta and gamma, and Siemens configuration. The application varies between: (a) primary drive, (b) cooling machine and (c) heat pump. Different types of heat exchangers, regenerators and working gas can be chosen, as well as crankshaft and free piston machines.

Table 1. Preliminary technical engine data of 8 kW power.

<b>Mobile parts</b>	
Piston diameter	167 mm
Piston stroke expansion	68 mm
Compression piston diameter	167 mm
Expansion piston length	200 mm
Space between piston and cylinder	1 mm
Final separation at the top of piston (expansion)	1 mm
Final separation at the top of piston (compression)	1 mm
Phase difference between expansion pistons and compression pistons	90°
Working gas temperature inside the heater	630 °C
Working gas temperature inside the heater	630 °C
Absolute mean pressure	40 bar
Maximum speed	1,500 rpm
<b>Data from the hot heat exchanger (heater)</b>	
Material	AISI-310 (1.4841)
Quantity of finned tubes	100
Internal diameter	5 mm
Length	500 mm
Hydrodynamic loss coefficient for input stream	1.5
<b>Data from the hot heat exchanger (heater)</b>	
Material	AISI-310 (1.4841)
Quantity of finned tubes	70
Internal diameter	5 mm
Length	300 mm
Hydrodynamic loss coefficient for input stream	1.5
<b>Cold side connection channels</b>	
Quantity	1
Internal diameter	18 mm
Length	50 mm
Hydrodynamic loss coefficient for input stream	1.5

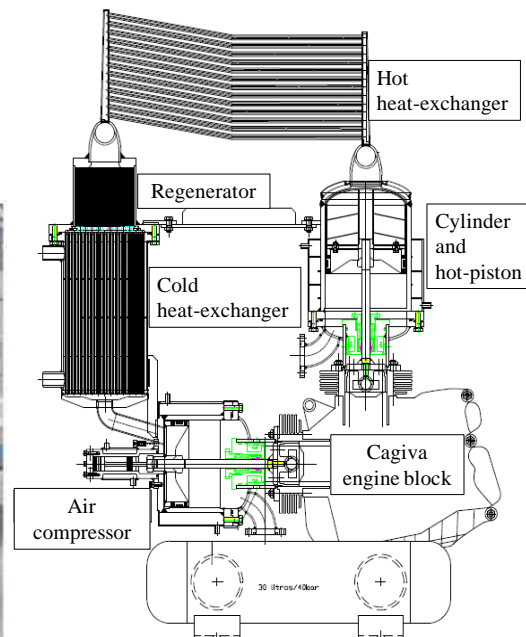


Figure 1. Simplified general scheme for the adaptations required by the Ducati engine block to operate as a Stirling engine of 8 kW power.

A routine change for parameters and optimization contains the software to design Stirling engines with optimized performance. The software structure, in addition, is modular, to allow addition of components, e.g. a new heat exchanger, or regenerator configurations, or other thermodynamic cycles, without great efforts (Thomas, 2003).

By using a common second-order model, the Stirling engine can be divided into five volumes: two cylinders, two heat exchangers and one regenerator. The mean working gas temperature is considered constant for each cylinder and heat exchanger. The temperature profile in the regenerator is approximated by a linear function. Such considerations create minimum deviations for cylinders and heat exchangers. Thus, one does not need to include additional modules to separate finite elements. Concerning the temperature profile in the regenerator, it significantly differs in a real machine of a linear function, which requires a closer look. The model used in the regenerator PROSA, for this reason, consists of four finite elements, as illustrated in the Fig. 2. Such elements encourage a good relation for the rise in accuracy of calculated results and in model complexity, requiring a large computational time.

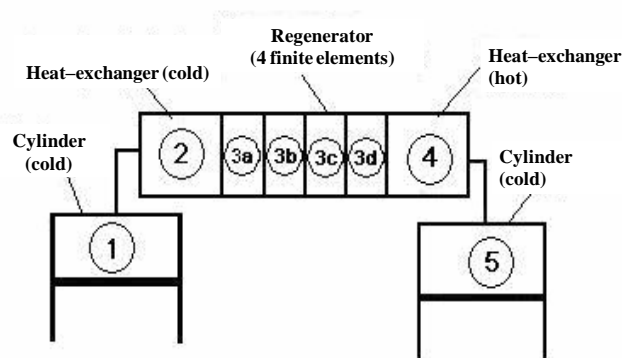


Figure 2. Division of the Stirling engine in different volumes or finite element to the PROSA software (Adapted from Thomas, 2003).

A second order model, as mentioned, includes a loss assessment after each calculation for the thermodynamic cycle. The final results depend heavily on the accuracy of such loss assessment. In recent years, researchers have strived to obtain solutions suitable for different types of losses in the Stirling engine. The main losses assessed by PROSA are heat transfer losses: in heat exchangers and cylinders; in regenerator, by heat conduction along the regenerator and cylinder to the crankcase; in connections among parts of the engine; in adiabatic; by pressure drop (load loss) in heat exchangers and regenerator; by friction in the piston seals, etc.

Because of this additional loss assessment, the initial conditions of the thermodynamic cycle related to the working gas temperature are affected, requiring an iterative procedure. Mathematically, this iteration is done by using a non-linear system with an energy balance for each volume and balance of mechanical energy. It requires the gas and rotation mean temperature or mechanical power as iteration variables. The system is solved by Newton's method with partial derivatives of certain numerically non-linear equations.

To define the input data in the PROSA, technical drawings from the building project of Amazon engine of 8 kW were used. When starting the software, two initial windows are displayed. At the first one, named 'cycle 1 configuration', one must choose the (a) type of application (primary drive, refrigerator, heat pump), (b) cylinder configuration (alpha, beta, gamma), and (c) piston movement (sinusoidal or free piston). In the second window (cycle 2 configuration) appears the options: (a) type of heat exchangers (hot and cold; if they are smooth or finned tubes, without exchangers, etc.), (b) type of regenerator (wire mesh, wings or fins), and (c) type of working fluid (hydrogen, helium or air).

The next window consists of seven tabs, in which all the technical engine data are introduced. We considered hugely important the data entry for the regenerator, in particular for the wire diameter, quantity of layers, and size of wire mesh. In doing so, we tried to find appropriate mesh porosity, i.e. without causing an excessive load or compromising the engine efficiency.

### 2.3. Modeling by MathCAD software

This software was initially used to define the dimensions and performance characteristics previously, needed to start developing the project and building such Amazon engine. The calculation algorithm used by the software is based on the theory of Gustav Schmidt. He developed the first theoretical assessment for Stirling engines in 1871. This became a classical assessment for the cycle, being useful to result in a reasonable approximation to the engine performance. However, since it is a theoretical assessment, some assumptions and simplifications are performed, and in practice, the engine performance is often less than 60 % of the anticipated performance concerning theory of Schmidt (Rogdakis, 2002).

### 3. SIMULATION AND EXPERIMENTAL PROCEDURE

#### 3.1. PROSA software

To simulate the engine, the rotation was assumed being constant and then it was kept at 600 rpm. The mechanical power obtained was 6.8 kW, and the efficiency 22.24 % for (a) nominal engine load, (b) 40 bar pressure, (c) 60 °C compression temperature, and (d) 760 °C at hot heat-exchanger wall temperature. At this point, a thermal power of 27.44 kW was added to the Stirling engine (hot source) and a 18.26 kW thermal power rejected by the engine (cold source). Varying the software parameters, the curves shown in Fig. 3 and Fig. 4 were then obtained.

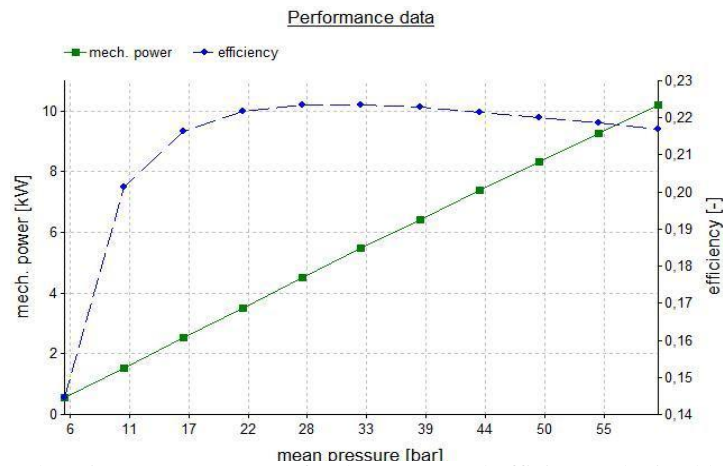


Figure 3. Internal engine pressure curves for the power and efficiency obtained by PROSA.

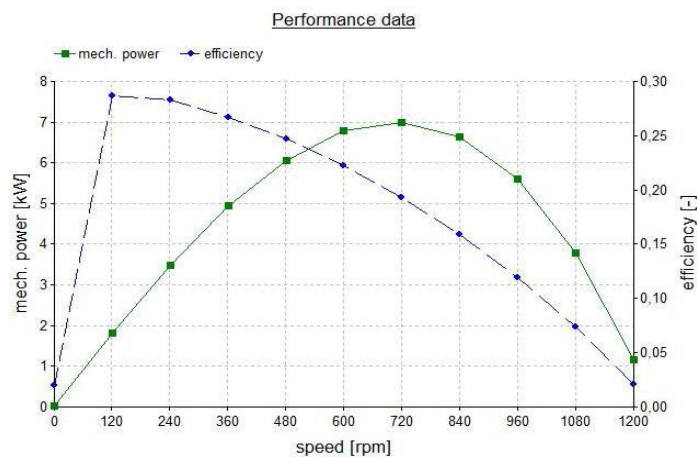


Figure 4. Influence of rotation for function based on mechanical power and efficiency.

Figure 3 shows the variation of power and engine efficiency by rising the operating pressure at a fixed speed of 600 rpm. The Figure 4 shows the influence of rotation on mechanical power and on such engine efficiency for a constant pressure of 40 bar. In the curve shown in figure 4, the behaviour of efficiency is increasing concerning engine load rise. According to the chart, the internal pressure should be roughly 47 bar to generate 8 kW, as was initially proposed by the project. The efficiency, moreover, is over 20 % for any pressure over 10 bar.

The behaviour of power for pressure (loading dock) is linear in the operating range of such engine. Also, the efficiency is nearly constant (ranging about 0.5 %) when the engine operates at partial loads.

Comparing the theoretical power data from PROSA to data from MathCAD, one can see that there is a greater distance among values of the engine operating at partial load (from 50 % to 70 % load), occurring variations until 10 % for such values. However, when the engine near its rated load is assessed, such difference among values from Schmidt and from PROSA is reduced to less than 1 %.

Engine speed is another interesting assessment. Figure 4 shows the influence of this parameter for power and efficiency engine. For power, one can see an optimum point of roughly 720 rpm. After such point, when increasing the engine speed occurs a reduction in power. Concerning efficiency, the engine is more efficient to a rotation of 120 rpm, and the efficiency curve lessens when rising engine speed. In the chart, the optimum point for operation of such engine

at 500 rpm, including a mechanical power of 6.4 kW and an efficiency of 24 %. By using the PROSA optimisation tool, one can vary until 10 different parameters. Hence, keeping the constant rotation at 600 rpm, PROSA was asked to optimise the variables: (a) pressure inside the engine; (b) diameter, length, and porosity of regenerator; (c) hot and cold piston diameter; and (d) length and quantity of tubes for hot and cold exchangers. As a result, the values in Tab. 2 were obtained.

Table 2. Results to optimise PROSA parameters for the Amazon engine.

Variables selected for optimization	Initial value	Optimized value
Internal pressure (bar)	40	33.8
Cold cylinder diameter (mm)	177	197.2
Cold cylinder length (mm)	325.5	177.3
Quantity of tubes of cold exchanger	139	242
Extensive regenerator diameter (mm)	130	290
Regenerator length (mm)	123	126.3
Regenerator porosity (mm)	0.78	0.64
Hot cylinder diameter (mm)	177	235.9
Length of tubes of hot exchanger (mm)	580	189.9
Quantity of tubes of hot exchanger	169	193.1

According to such results, the engine can generate 10.5 kW at an efficiency of 33.49 % for an internal pressure of 33 bar, less than currently is used (40 bar). At this new operation point, we took 31.37 kW for thermal power added to the Stirling engine (hot source) and 14.88 kW for thermal power rejected by the engine (cold source). The figure 6 and 7 show the new relations between the engine operating pressure and the mechanical power and efficiency, as well as the influence of rotation on power and efficiency.

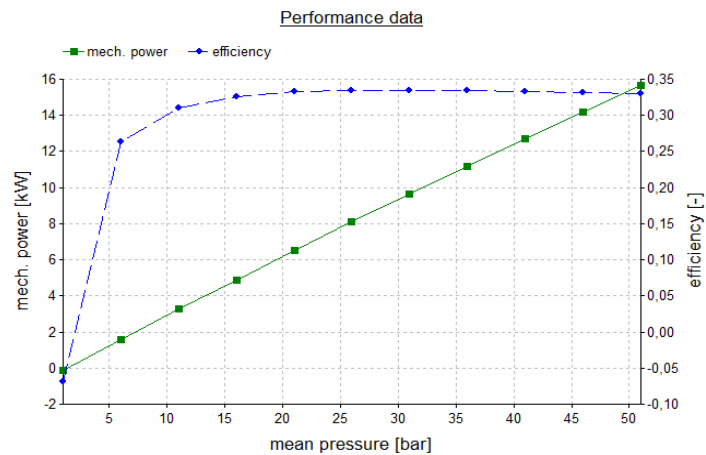


Figure 5. Internal engine pressure relations for the Amazon power and efficiency optimized by PROSA.

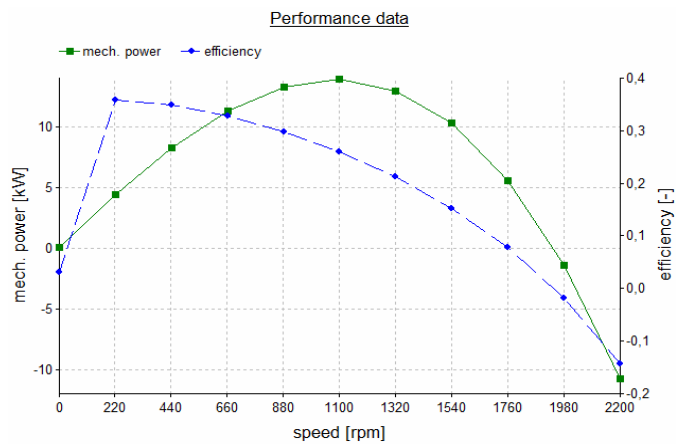


Figura 6. Influence of rotation on mechanical power and efficiency for the Amazon engine optimised by PROSA.

Figure 6 shows that the engine has a maximum mechanical power in a rotation at 1100 rpm and a maximum efficiency at 220 rpm. The best performance point is on a rotation of 660 rpm with 11 kW and 33 % of efficiency.

### 3.2. MathCAD software

As a result of this simulation software, the charts that relate the main parameters of engine operation and its main components with the rotation speed is shown in Fig. 7.

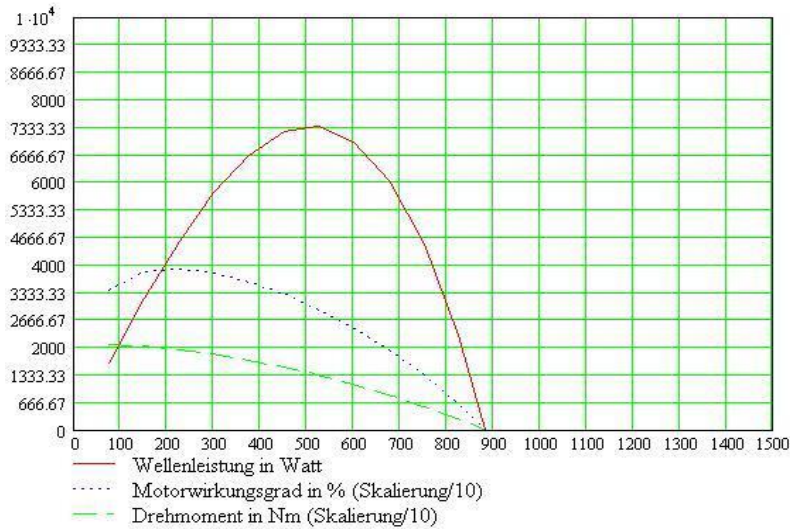


Figure 7. Shaft power, efficiency, and mechanical moment, all according to rotation speed.

Figure 7 shows a maximum power of 7.33 kW at 550 rpm, and the maximum speed without load is 900 rpm. At maximum power, the efficiency reaches 29 %. Higher efficiency values can be achieved at 230 rpm.

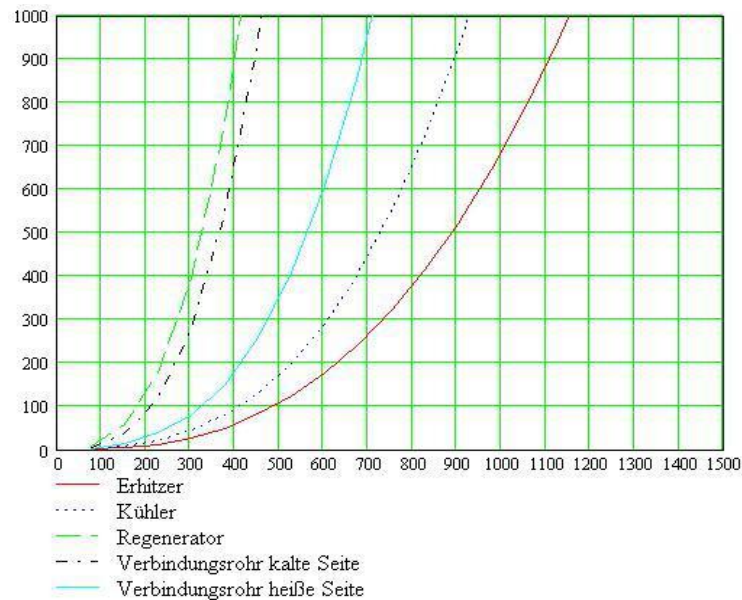


Figura 8. Load loss for working gas flow in the heater, cooler, regenerator, heater connection tubes, and cooler connection tubes, according to the operation speed.

The figure 8 shows the influence of operation speed for working gas flow losses. Such losses occur more frequently for the connection tubes between the hot cylinder and heater. Therefore, the manufacture of such element should promote an appropriate section and a smooth surface. The sum of the working gas flow losses are from 1900 W to 400 rpm.

#### 4. CONCLUSION

As predicted theoretically, the results obtained by the MathCAD software were on average 6.8 % higher than the results obtained by the PROSA software. Such fact occurs since MathCAD does not consider the losses in a real system. Hence, the PROSA is generally more accurate in its results. One can easily realise that modelling performed in MathCAD presents higher values for partial loads and similar values for the project operating point. Table 3 shows the comparison involving the major variables for theoretical operation of the Amazon Stirling engine.

Table 3. Comparison of the results for PROSA and MathCAD.

Constants: pressure = 40 bar; rotation = 600 rpm			
Variables	PROSA	MathCAD	Difference MathCAD/PROSA (%)
Power (kW)	6.8	7	2.94
Efficiency (%)	22.24	24	7.91
Heat absorbed by the hot heat-exchanger (kW)	27.44	28.5	3.86
Heat absorbed by the hot cold-exchanger (kW)	18.26	16	-12.38

In general, the PROSA offers a more user-friendly graphical interface and the tools 'variation' and 'optimization', which allow a greater sensitivity assessment by users. In the future, the theoretical results presented here may be validated experimentally when the Amazon engine is properly working.

#### 5. ACKNOWLEDGEMENTS

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