# Gravitational Capture in the Bi-Circular Restricted Four-Body Problem. 

Alexandre Lacerda Machuy Francisco ${ }^{1}$, Antônio Fernando Bertachini De Almeida Prado ${ }^{1}$,Teresinha De Jesus Stchui ${ }^{2}$.<br>${ }^{1}$.Divisão de Mecânica Espacial e Controle INPE.<br>C. P. 515, 12227-310 São José dos Campos-SP, Brasil.<br>${ }^{2}$. Departamento de física matemática, Instituto de física, UFRJ, Caixa Postal.<br>658528 21945-970 Rio de Janeiro-RJ Brasil.<br>machuy@dem.inpe.br, prado@dem.inpe.br, tstuchui@if.ufrj.br.

Abstract: The objective of the present paper is to study the ballistic gravitational capture in a dynamical model that has the presence of four bodies In particular, the earth-moon-sun-spacecraft system is considered. This phenomenon is explained in terms of the integration of the perturbing forces with respect to time. Numerical simulations are performed to show the differences between the models with three and four bodies in the trajectories of the spacecraft.
Keywords: capture, four-body, bi-circular.

### 1.0 INTRODUCTION

A gravitational capture occurs when a spacecraft (or any particle with negligible mass) change from a hyperbolic orbit with a small positive energy around a celestial body into an elliptic orbit with a small negative energy without the use of any propulsive system. The force responsible for this modification in the orbit of the spacecraft is the gravitational force of the third and the fourth bodies involved in the dynamics. In this way, those forces are used as a zero cost control, equivalent to a continuous thrust applied in the spacecraft. One of the most important applications of this property is the construction of trajectories to the Moon. The concept of gravitational capture is used together with the basic ideas of the gravity-assisted maneuver and the bi-elliptic transfer orbit to generate a trajectory that requires a fuel consumption smaller than the one required by the Hohmann transfer. This maneuver consists of the following steps: i) the spacecraft is launched from an initial circular orbit with radius $r_{0}$ to an elliptic orbit that crosses the Moon's path; ii) a Swing-By with the Moon is used to increase the apoapsis of the elliptic orbit. This step completes the first part of the bi-elliptic transfer, with some savings in $\Delta \mathrm{V}$ due to the energy gained from the Swing-By; iii) With the spacecraft at the apoapsis, a second very small impulse is applied to rise the periapsis to the Earth-Moon distance. The solar effects can reduce even more the magnitude of this impulse; iv) The transfer is completed with the gravitational capture of the spacecraft by the Moon.

The application of this phenomenon in spacecraft trajectories is recent in the literature. The first demonstration of this was in Belbruno, 1987. Further studies include Belbruno (1990 and 1992); Krish (1991); Krish, Belbruno and Hollister (1992); Miller and Belbruno (1991); Belbruno and Miller (1990 and 1993). They all studied missions in the Earth-Moon system that use this technique to save fuel during the insertion of the spacecraft in its final orbit around the Moon. Another set of papers that made fundamental contributions in this field, also with the main objective of constructing real trajectories in the Earth-Moon system, are those of Yamakawa, Kawaguchi, Ishii and Matsuo (1992 and 1993) and Yamakawa (1992). The first real application of a ballistic capture transfer was made during an emergency in a Japanese spacecraft (Belbruno and Miller, 1990). After that, some studies that consider the time required for this transfer appeared in the literature. Examples of this approach can be found in the papers by Vieira-Neto and Prado (1995 and 1998). An extension of the dynamical model to consider the effects of the eccentricity of the primaries is also available in the literature (Vieira-Neto and Prado, 1996; Vieira-Neto, 1999). A study of this problem, from the perspective of invariant manifolds, was developed by Belbruno (1994). Regarding applications of the bicircular problem, some of the important papers are: Simo, Gomez, Jorba and Masdemont (1995), Gomez, Jorba, Martinez and Simo (2001), Gomez, Llibre, Martinez and Simo (2001) and Castella and Jorba (2000).

The present paper has the goal of developing analytical equations to estimate the effects of the fourth body in the gravitational capture. The main developments are made for the Earth-Moon-Sun-Spacecraft system, but many results are valid for any system of primaries.

### 2.0 Mathematical models.



Figure 1 - Bi-circular problem.
In the bi-circular problem (Cronin, Richards and Russel, 1964), we have (Figure 1): $i$ ) The Earth and the Moon constitute the two main primaries of the system, both in circular orbits around the common center of mass; ii) The Sun is the third body, assumed to be in a circular orbit around the center of mass of the Earth-Moon system and its orbit is coplanar with the orbit of the Moon around the Earth; iii) The trajectory of a massless fourth body that has its motion governed by the three primaries is studied. The center of mass of the Earth-Moon system is the origin of the reference system. The positions of the bodies are: $\left(-\mu_{2}, 0,0\right)$ for the Earth and $\left(\mu_{1}, 0,0\right)$ for the Moon. The values of the masses are given by $\mu_{1}=0.9878493317$ for the Earth, $\mu_{2}=0.0121506683$ for the Moon and $\mu_{S u n}=328900.48$ for the Sun (canonical units). To obtain the equations of motion, the same procedure used by Yamakawa (1992) is used here. The Lagrangian is written as:

$$
L=T+U
$$

where T is the kinetic energy given by:

$$
\mathrm{T}=\frac{1}{2}\left(\left(\frac{\mathrm{dx}}{\mathrm{dt}}\right)^{2}+\left(\frac{\mathrm{dy}}{\mathrm{dt}}\right)^{2}+\left(\frac{\mathrm{dz}}{\mathrm{dt}}\right)^{2}\right)+\left(\mathrm{x} \frac{\mathrm{dy}}{\mathrm{dt}}-\frac{\mathrm{dx}}{\mathrm{dt}} \mathrm{y}\right)+\frac{1}{2}\left(\mathrm{x}^{2}+\mathrm{y}^{2}\right)
$$

and $U$ is the potential energy given by:

$$
\mathrm{U}=-\frac{\mu_{1}}{\mathrm{r}_{1}}-\frac{\mu_{2}}{\mathrm{r}_{2}}-\mu_{\mathrm{sun}}\left[\frac{1}{\mathrm{r}_{\text {sun }}}-\frac{\mathrm{xx}_{\mathrm{s}}+\mathrm{yy}_{\mathrm{s}}}{\mathrm{R}_{\text {sun }}^{3}}\right]
$$

$r_{1}, r_{2}$, and $r_{S u n}$ represents the distances between the spacecraft and the Earth, the Moon and the Sun, respectively. $R_{S u n}=389.1723985$ is the distance between the Sun and the origin of the coordinate system. $\left(x_{s}, y_{s}, z_{s}\right)$ represents the position of the Sun with respect to the rotating system. Those variables are given by:

$$
\begin{gathered}
\mathrm{r}_{1}{ }^{2}=\left(\mathrm{x}+\mu_{2}\right)^{2}+\mathrm{y}^{2}+\mathrm{z}^{2}, \\
\mathrm{r}_{2}{ }^{2}=\left(\mathrm{x}-\mu_{1}\right)^{2}+\mathrm{y}^{2}+\mathrm{z}^{2} \\
\mathrm{r}_{\mathrm{sun}}^{2}=\left(\mathrm{x}-\mathrm{x}_{\mathrm{s}}\right)^{2}+\left(\mathrm{y}-\mathrm{y}_{\mathrm{s}}\right)^{2}+\left(\mathrm{z}-\mathrm{z}_{\mathrm{s}}\right)^{2}=\left(\mathrm{x}+\mathrm{R}_{\mathrm{sun}} \cos \psi\right)^{2}+\left(\mathrm{y}-\mathrm{R}_{\mathrm{sun}} \sin \psi\right)^{2}+\mathrm{z}^{2} \\
\psi=\bar{\alpha}+\left(\omega_{\mathrm{E}-\mathrm{M}}-\Omega_{\mathrm{sun}}\right) \mathrm{t}
\end{gathered}
$$

where $\bar{\alpha}$ is the phase angle of the Sun, given by (anti-Sun direction)-(origin)-(Moon), that is the initial position of the Moon in a system that has the Earth and the Sun in fixed positions; $\omega_{\mathrm{E}-\mathrm{M}}$ is the angular velocity of the Earth-Moon system ( $=1.00$ ); $\Omega_{\text {sun }}$ is the angular velocity of the Sun with respect to the system of reference ( $=0.07480133$ ).

From the Lagrangian, it is possible to obtain the equations of motion, that are given by:

$$
\begin{align*}
& \frac{\mathrm{d}^{2} \mathrm{x}}{\mathrm{dt}^{2}}-2 \frac{\mathrm{dy}}{\mathrm{dt}}-\mathrm{x}-\frac{\mu_{\mathrm{sun}}}{\mathrm{R}_{\text {sun }}^{2}} \cos \psi=-\frac{\partial \mathrm{U}_{\mathrm{n}}}{\partial \mathrm{x}} \\
& \frac{\mathrm{~d}^{2} \mathrm{y}}{\mathrm{dt}^{2}}+2 \frac{\mathrm{dx}}{\mathrm{dt}}-\mathrm{y}+\frac{\mu_{\mathrm{sun}}}{\mathrm{R}_{\text {sun }}^{2}} \sin \psi=-\frac{\partial \mathrm{U}_{\mathrm{n}}}{\partial \mathrm{y}}  \tag{1}\\
& \frac{\mathrm{~d}^{2} \mathrm{z}}{\mathrm{dt}^{2}}=-\frac{\partial \mathrm{U}_{\mathrm{n}}}{\partial \mathrm{z}}
\end{align*}
$$

where $U_{n}=-\frac{\mu_{1}}{r_{1}}-\frac{\mu_{2}}{r_{2}}-\frac{\mu_{\text {sun }}}{r_{\text {sun }}}$,

$$
\begin{aligned}
\frac{\partial \mathrm{U}_{\mathrm{n}}}{\partial \mathrm{x}} & =\frac{\mu_{1}}{\mathrm{r}_{1}^{3}}\left(\mathrm{x}+\mu_{2}\right)+\frac{\mu_{2}}{\mathrm{r}_{2}^{3}}\left(\mathrm{x}-\mu_{1}\right)+\frac{\mu_{\text {sun }}}{\mathrm{r}_{\text {sun }}^{3}}\left(\mathrm{x}+\mathrm{R}_{\mathrm{sun}} \cos \psi\right) \\
\frac{\partial \mathrm{U}_{\mathrm{n}}}{\partial \mathrm{y}} & =\frac{\mu_{1}}{\mathrm{r}_{1}^{3}}(\mathrm{y})+\frac{\mu_{2}}{\mathrm{r}_{2}^{3}}(\mathrm{y})+\frac{\mu_{\text {sun }}}{\mathrm{r}_{\text {sun }}^{3}}\left(\mathrm{y}-\mathrm{R}_{\text {sun }} \cos \psi\right) \\
\frac{\partial \mathrm{U}_{\mathrm{n}}}{\partial \mathrm{z}} & =\frac{\mu_{1}}{\mathrm{r}_{1}^{3}}(\mathrm{z})+\frac{\mu_{2}}{\mathrm{r}_{2}^{3}}(\mathrm{z})+\frac{\mu_{\text {sun }}}{\mathrm{r}_{\text {sun }}^{3}}(\mathrm{z})
\end{aligned}
$$

The standard canonical system of units is used, in which: the unit of distance is the distance between $\mathrm{M}_{1}$ (the Earth) and $M_{2}$ (Moon); the angular velocity ( $\omega_{\mathrm{E}-\mathrm{M}}$ ) of the motion of $\mathrm{M}_{1}$ and $\mathrm{M}_{2}$ is set to unity; the mass of the smaller primary $\left(\mathrm{M}_{2}\right)$ is given by $\mu=\mathrm{m}_{2} /\left(\mathrm{m}_{1}+\mathrm{m}_{2}\right)$ (where $\mathrm{m}_{1}$ and $\mathrm{m}_{2}$ are the real masses of the Earth and the Moon, respectively) and the mass of $M_{2}$ is $(1-\mu)$; the unit of time is defined such that the period of the motion of the two primaries is $2 \pi$ and, as a consequence of those choices, the gravitational constant is unity.

When considering the restricted three-body problem, the well known equations shown below are used, also in the canonical system of units:

$$
\ddot{\mathrm{x}}-2 \dot{\mathrm{y}}=\frac{\partial \Omega}{\partial \mathrm{x}}, \ddot{\mathrm{y}}+2 \dot{\mathrm{x}}=\frac{\partial \Omega}{\partial \mathrm{y}}
$$

where $\Omega$ is the pseudo-potential given by: $\Omega=\frac{1}{2}\left(x^{2}+y^{2}\right)+\frac{(1-\mu)}{r_{1}}+\frac{\mu}{r_{2}}$.

### 3.0 Numerical Simulations.

To show better the differences between the dynamical systems including three and four bodies, we made several simulations. The numerical integration performed here and in all phases of the present research used the Runge-Kutta 78 method.

In Fig. 2, we have parabolic orbits, case $C_{3}=0$. In Fig. 3 we have elliptical orbits with $C_{3}=-0.10$. In both cases: red is for $\alpha=0^{\circ}$, green is for $\alpha=45^{\circ}$, light blue is for $\alpha=90^{\circ}$, pink is for $\alpha=135$, dark blue is for $\alpha=180$, brown is for $\alpha=225$, dark grenn is for $\alpha=270$. In all plots $\psi=0$.


Figure 2 - Parabolic orbits.


Figure 3 - Elliptical orbits.
In Fig. 4, we have direct orbit and in Fig. 5 we have a retrograde orbit. In the figs below we have: $\alpha=180^{\circ}$ e $\psi=0^{\circ} . \operatorname{Com} C_{3}=-0.6$.


Figure 4 - Direct orbit.


Figure 5 - Retrograde orbit.
Then we study $C_{3}$. We have $\alpha=180^{\circ}$ e $\psi=0^{\circ}$. For the pink plot we have $C_{3}=-0.6$, for the blue one we have $C_{3}=-0.4$, for the green $C_{3}=-0.2$, for the red $C_{3}=0.0$. In fig. 6 we have direct orbits and in Fig. 7 retrograde orbits.


Figure 6 - Directs orbits.


Figure 7 - Retrograde orbit.
Now we make $C_{3}=-0.15$ e $\alpha=120^{\circ}$ and vary $\psi$. In red we have $\psi=0^{\circ}$, in green $\psi=90^{\circ}$, in blue $\psi=180^{\circ}$, in pink $\psi=270^{\circ}$. In fig. 8 we have direct orbits and in Fig. 9 retrograde orbits.


Figure 8 - Directs orbits.


Figure 9 - Directs orbits.

### 4.0 Conclusions.

This paper had the main goal of studying the ballistic gravitational capture problem under the model given by the bicircular problem. The numerical results showed that large savings can be obtained under the bi-circular four body problem when compared to the restricted three body problem. In order to obtain those savings, it is necessary to find a proper geometry to start the maneuver, such that the force of the Sun acts to reduce de velocity of the spacecraft that is approaching the Moon. If this is not done, the savings can be reduced or even desapear.

The next topics to be covered in this research will be: analysis of the time of gravitational capture, study of the minimum consumption of fuel and some numerical results of temporary gravitational capture for other mathematical models, those will be studied in the plan and in the space.

### 5.0 Acknowledgements

The author is grateful to CNPq (National Council for Scientific and Technological Development) - Brazil for the contract 300221/95-9 and to FAPESP (Foundation to Support Research in São Paulo State) for the contract 03/03262-4.

## REFERENCES.

Belbruno, E.A., "Examples of the Nonlinear Dynamics of Ballistic Capture and Escape in the Earth-Moon System", AIAA-90-2896. In: AIAA Astrodynamics Conference, Portland, Oregon, Aug. 1990.
Belbruno, E.A., "Ballistic Lunar Capture Transfer Using the Fuzzy Boundary and Solar Perturbations: a Survey", In: Proceedings for the International Congress of SETI Sail and Astrodynamics, Turin, Italy, 1992.
Belbruno, E.A., "Lunar Capture Orbits, a Method of Constructing Earth Moon Trajectories and the Lunar Gas Mission", AIAA-87-1054. In: 19th AIAA/DGLR/JSASS International Electric Propulsion Conference, Colorado Springs, Colorado, May 1987.
Belbruno, E.A., Miller, J.K., "A Ballistic Lunar Capture Trajectory for Japanese Spacecraft Hiten", Jet Propulsion Lab., JPL IOM 312/90.4-1731, Internal Document, Pasadena, CA, Jun. 1990.

Belbruno, E.A., Miller, J.K., "Sun-Perturbed Earth-to-Moon Transfers With Ballistic Capture, Journal of Guidance, Control and Dynamics, Vol. 16, no 4, (July-August 1993), pp. 770-775.
Belbruno, E.A., The Dynamical Mechanism of Ballistic Lunar Capture Transfers in the Four-Body Problem from the Perspective of Invariant Manifolds and Hill's Regions, Institut D'Estudis Catalans, CRM Research Report N ${ }^{\circ}$ 270, (November 1994).
Castella, E. and Jorba, A., "On the vertical families of two-dimensional tori near the triangular points of the Bicircular problem", Celestial Mechanics and Dynamical Astronomy, Vol. 76, No 1, pp. 35-54, 2000.
Cronin, J., Richards, P.B. and Russell, L.H., "Some periodic solutions of a four-body problem", Icarus, Vol. 3, pp. 423428, 1964.
Gomez, G., Jorba, A., Martinez, R. and Simo, C., "Dynamics and Mission Design Near Libration Points", Volumes III, IV. World Scientific Monograph Series in Mathematics, 2001.

Gomez, G., Llibre, J., Martinez, R. and Simo, C., "Dynamics and Mission Design Near Libration Points", Volumes I, II. World Scientific Monograph Series in Mathematics, 2001.
Krish, V., "An Investigation Into Critical Aspects of a New Form of Low Energy Lunar Transfer, the Belbruno-Miller Trajectories", Master's Dissertation, Massachusetts Institute of Technology, Cambridge, MA, Dec 1991.
Krish, V., Belbruno, E.A., Hollister, W.M., "An Investigation Into Critical Aspects of a New Form of Low Energy Lunar Transfer, the Belbruno-Miller Trajectories", AIAA paper 92-4581-CP, 1992.
Miller, J.K., Belbruno, E.A., "A Method for the Construction of a Lunar Transfer Trajectory Using Ballistic Capture", AAS-91-100. In: AAS/AIAA Space Flight Mechanics Meeting, Houston, Texas, Feb. 1991.
Prado, A.F.B.A., "Numerical Study and Analytic Estimation of Forces Acting in Ballistic Gravitational Capture," Journal of Guidance, Control and Dynamics, Vol. 25, No. 2, pp. 368-375, 2002.

Simo, C., Gomez, G., Jorba, A. and Masdemont, J., "The Bicircular model near the triangular libration points of the RTBP", A.E. Roy and B.A. Steves, editors, From Newton to Chaos, pp. 343-370, New York, 1995. Plenum Press.
Vieira Neto, E. and Prado, A.F.B.A., A Study of the Gravitational Capture in the Restricted-Problem. Proceedings of the "International Symposium on Space Dynamics" pg. 613-622. Toulouse, France, 19-23/June/1995.
Vieira Neto, E. and Prado, A.F.B.A., Study of the Gravitational Capture in the Elliptical Restricted Three-Body Problem. Proceedings of the "International Symposium on Space Dynamics" pg. 202-207. Gifu, Japan, 1925/May/1996.
Vieira Neto, E. and Prado, A.F.B.A., Time-of-Flight Analyses for the Gravitational Capture Maneuver. Journal of Guidance, Control and Dynamics, Vol. 21, No. 1, pp. 122-126, 1998.
Vieira Neto, E., Estudo Numérico da Captura Gravitacional Temporária Utilizando o Problema Restrito de Três Corpos. Ph.D. Dissertation, Instituto Nacional de Pesquisas Espaciais, Brazil, 1999.
Yamakawa, H., 1992, On Earth-Moon Transfer Trajectory with Gravitational Capture. Ph.D. Dissertation, University of Tokyo.
Yamakawa, H., Kawaguchi, J., Ishii, N., Matsuo, H., "A Numerical Study of Gravitational Capture Orbit in Earth-Moon System", AAS paper 92-186, AAS/AIAA Spaceflight Mechanics Meeting, Colorado Springs, Colorado, 1992.
Yamakawa, H., Kawaguchi, J., Ishii, N., Matsuo, H., "On Earth-Moon transfer trajectory with gravitational capture", AAS paper 93-633, AAS/AIAA Astrodynamics Specialist Conference, Victoria, Canada, 1993.

## RESPONSIBILITY NOTICE.

The authors are the only responsible for the printed material included in this paper.

